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FERENCE & ASSOCIATES
Notice of AppealAtty. Docket No. FR91999007US1
(590.106)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Blanchard et al.
Serial No. : 09/863,920 Examiner : Felton, D.
Filed : May 23, 2001 Art Unit : 3624
For : SYSTEM AND METHOD FOR STOCK OPTIONS
MARKET MANAGEMENT

**NOTICE OF APPEAL FROM EXAMINER TO
THE BOARD OF PATENT APPEALS AND INTERFERENCES**

Box AF
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the decision of the Examiner mailed October 19, 2005, finally rejecting claims 1-14. A Pre-Appeal Brief Request for Review is being filed with this Notice of Appeal.

The items checked below are appropriate:

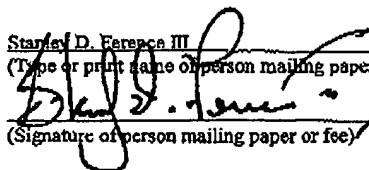
1. ☐ Extension of Time

- ☒ No extension of time is needed.
- ☐ Applicant requests an extension of time to respond to the outstanding Office Action. A Petition for an extension of time is enclosed.
- ☐ Applicant has previously requested an extension of time to respond to the outstanding Office Action.
- ☒ In the event that an extension of time is required, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

CERTIFICATE OF TRANSMISSION

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being facsimile transmitted on (571) 273-8300 on January 19, 2006 to the Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

Stanley D. Ference III
(Type or print name of person mailing paper or fee)


(Signature of person mailing paper or fee)

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2. **Status of Applicant**

This application is on behalf of

- ☐ Small entity
☒ Other than a small entity

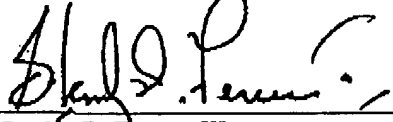
3. **Fee for Filing Notice of Appeal**

Pursuant to 37 CFR 41.20(b)(1), the fee for this Notice of Appeal is

- ☐ Small entity \$ _____
☒ Other than a small entity \$500.00
☐ Applicant encloses herewith a check for \$ _____ to cover the Appeal fee.
☒ The Commissioner is hereby authorized to charge the \$500.00 Appeal fee required under 37 CFR 1.17(e) to **IBM Corporation Deposit Account No. 50-0510**.
☒ The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to **IBM Corporation Deposit Account No. 50-0510**.

Respectfully submitted,

FERENCE & ASSOCIATES

By 
Stanley D. Ference III
Reg. No. 33,879

Dated: January 19, 2006

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